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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/864,866	05/23/2001	Robert Stephen Lloyd	265.0017 0101

CONFIRMATION NO. 2264

26813
MUETING, RAASCH & GEBHARDT, P.A.
P.O. BOX 581415
MINNEAPOLIS, MN 55401

FORMALITIES LETTER



OC000000006324162

Date Mailed: 07/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/20/2001 EABUBAK1 00000007 134895 09864866

FILED UNDER 37 CFR 1.53(b)

01	FD-201	355.00	CH
02	FC-202	1320.00	CH
03	FD-203	180.00	CH
04	FD-204	65.00	CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$3000.
 - \$360 for 20 total claims over 20.
 - \$2640 for 33 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 3840.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

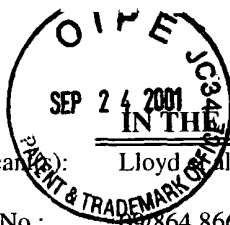
*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lloyd et al.

Group Art Unit: 1645

Serial No.: 097864,866

Examiner: Unknown

Confirmation No.: 2264

Docket No.: 265.0017 0101

Filed: May 23, 2001

Title: DNA REPAIR POLYPEPTIDES AND METHODS OF USE

Assistant Commissioner for Patents

Attn: Box Missing Parts

Washington, D.C. 20231

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ **Small entity status is entitled to be asserted in the above-identified application.**
- ☒ An itemized return postcard.
- ☒ Communication Re: Missing Parts (1 pg.).
- ☒ A signed Declaration (4 pgs).
- ☒ Communication Regarding Entry of Sequence Listing (1 pg), Computer readable form (CRF) copy of "Sequence Listing" (one disk) and paper copy of "Sequence Listing" (18 pgs.) Applicants state that the paper copy form of the "Sequence Listing" section of the present application, and the computer readable form submitted herewith, are the same.
- ☒ Other: Notice to File Missing Parts of Nonprovisional Application (Part B) (2 pgs.).
- ☒ Other: Election Under 37 C.F.R. §3.71, Revocation, Power of Attorney, and Certificate under §3.73(b) (4 pgs. including copy of executed Assignment).
- ☒ Other: Request for Corrected Filing Receipt (4 pgs. including copy of Filing Receipt).
- ☒ Please charge Deposit Account No. 13-4895 in the amount of \$355 for the application filing fee.
- ☒ Please charge Deposit Account No. 13-4895 in the amount of \$1500 for the additional claims fee.
- ☒ Please charge Deposit Account No. 13-4895 in the amount of \$65 for the Surcharge for filing missing parts.

APPLICATION FILING FEE					
	Number of Pending Claims (1)	Claims Included in Basic Filing Fee (2)	Number of Extra Claims (1-2)	Cost per Extra Claim	Fee Required
Total Claims	40	20	20	x \$9 =	180
Independent Claims	36	3	33	x \$40 =	1320
One or More Multiple Dependent Claims Presented? If Yes, Enter \$135 Here →					0
Enter Basic Filing Fee (Utility Patent-\$355) Here →					355
Missing Parts Surcharge (Utility Patent-\$65) Here →					65
Total Application Filing Fee					1920

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

CERTIFICATE UNDER 37 C.F.R. §1.8: The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Attn: Box Missing Parts, Washington, D.C. 20231, on this 20th day of September, 2001.

MUETING, RAASCH & GEBHARDT, P.A.

Customer Number: 26813

By: David L. Proven

Name: David L. Proven

Reg. No.: 43,022

Direct Dial: 612-305-1005

Facsimile: 612-305-1228

(SMALL ENTITY TRANSMITTAL UNDER RULE 1.8)



PATENT

Docket No. 265.0017 0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Lloyd et al.) Group Art Unit: 1645
)
Serial No.: 09/864,866) Examiner: Unknown
Confirmation No.: 2264)
)
Filed: May 23, 2001)
)
For: DNA REPAIR POLYPEPTIDES AND METHODS OF USE

COMMUNICATION RE: MISSING PARTS

Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231

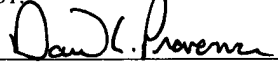
Sir:

In response to the "Notice to File Missing Parts of Application," enclosed is an executed Declaration by the named inventors. Please charge PTO Deposit Account No. 13-4895 in the amount of \$65 for small entity status for the missing parts surcharge. Please charge any additional fees or credit any over-payment to PTO Deposit Account No. 13-4895.

The application should now be in condition for examination. Please direct any inquiries to the undersigned attorney.

CERTIFICATE UNDER 37 C.F.R. 1.8:

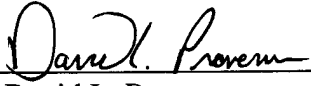
The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Attn: Box Missing Parts, Washington, D.C. 20231, on this 20th day of September, 2001.


David L. Provence

Respectfully submitted for

Lloyd et al.
By
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September 20, 2001
Date

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